



OFFICE OF THE PRINCIPAL::NORTH LAKHIMPUR COLLEGE
(An Autonomous College Affiliated to Dibrugarh University)

Memo No.: NLC/RevisedPhdRegulation/2022/4117(A)

Date: 15/08/2022

NOTIFICATION

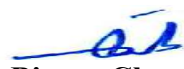
The North Lakhimpur College Regulations for the Award of the Degree of Doctor of Philosophy (Ph.D.) 2021, approved by the 9th Meeting of the Academic Council, North Lakhimpur College (Autonomous) held on 9/8/2021 vide Resolution No. (6) to be effected from the academic session 2020-2021, as well as the amendment in the clause 10(i-a) by the Research Council in the 9th Meeting on 10/01/2022 vide Resolution No. (2) and the amendment in the clause 9(i) and 25(ii) by the Research Council in the 10th Meeting on 26/02/2022 vide the Resolution Nos. (7a, 7b, 7c, 7d and 8) and approved by the 11th Meeting of the Academic Council, North Lakhimpur College (Autonomous) held on 11/08/2022 vide resolution No. (17) and amended upto date and given effect from the Academic Session 2021-2022.

Clause	Modification Approved
10(i-a)	<p>i) The following persons are eligible to serve as Supervisors for Ph.D. programmes in their respective fields of specialisation:</p> <p>a) Faculty member of the Post Graduate Departments of the College with adequate research infrastructure (to be ascertained by the Research Council) and a minimum of 1 year of teaching experience in PG classes after attaining Ph.D. degree and having at least three research papers published in the UGC referred journals are eligible for supervisorship. Out of these, one paper must be published after attaining the Ph.D. Degree and shall have to be the corresponding author. However, in case of not fulfilling the conditions above, proportionate weightage shall be given as per UGC norms.</p>
9(i)	<p>Doctoral Committee (DC):</p> <p>i) Formation: The supervisor(s) will initiate the formation of the DC to monitor the progress of each of the research students.</p> <p>a) The DC shall be composed of the supervisor(s) and a minimum of two faculty members from the Department/Centre in which the research student is conducting his/her research, with the supervisor serving as chairperson.</p> <p>b) This Committee may have a maximum of two members from allied Department(s)/Centre(s) of the College.</p> <p>c) The DC shall have minimum Three (3) members and maximum of Five</p>

	<p>(5) members.</p> <p>d) The formation of the Doctoral Committee must be announced by the Chairperson of the relevant DRC, and copies must be sent to the Principal's and Research Council's offices.</p> <p>e) DRC of the concerned Departments can include 1 (one) member from outside the institution to the Doctoral Committee if necessary.</p> <p>f) There has to be a separate doctoral committee for each Ph.D. scholar.</p>
25 (ii)	<p>ii) Every Ph.D. scholar must have one research paper published in referred and indexed journals (Scopus indexed/Web of Science/ UGC care listed journals) before the submission of the thesis and produce evidence to that effect in the form of re-print or acceptance letter.</p>


The Regulations is given along with this Notification with necessary amendment.

Issued with due approval.


(Dr. Biman Chandra Chetia)
Principal,
North Lakhimpur College (A)
Principal
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Lakhimpur, Assam

Copy to:

1. The Controller of Examinations, North Lakhimpur College (Autonomous)
2. The Member Secretary, Academic Council, North Lakhimpur College (Autonomous)
3. The Member Secretary, Research Council, North Lakhimpur College (Autonomous)
4. The Coordinator, IQAC, North Lakhimpur College (Autonomous)
5. HoD, All PG Department, North Lakhimpur College (Autonomous)
6. The Chairpersons of the DRCs, North Lakhimpur College (Autonomous)
7. College Website
8. File


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**NORTH LAKHIMPUR COLLEGE (AUTONOMOUS) REGULATIONS FOR THE
AWARD OF THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) 2021**

<u>PART – I</u>	
1.	<p>Preamble: The Regulations for the Award of Degree of Doctor of Philosophy is framed in accordance with the Dibrugarh University Regulations for the Research Council and for the Degree of Doctor of Philosophy (Ph.D.) 2016, with Memo No.: DU/DR-A/8-1/17/1546 Date: 28.08.2017; UGC (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree) Regulations 2016 notified in The Gazette of India [No. 278, Part III- Section 4] Extra Ordinary on July 5th 2016 vide No.F.1-2/2009(EC/PS)V(I) Vol. II - in exercise of the powers conferred by clauses (f) and (g) of sub-section(1) of Section 26 of the University Grants Commission Act, 1956 (3 of 1956) and UGC Guideline for Autonomous Colleges, 2018. The North Lakhimpur College (Autonomous), Lakhimpur, Assam plans to offers research programmes in diverse subjects leading to the Degree of Doctor of Philosophy.</p>
2.	<p>Short Title and Commencement: These Regulations shall be known as the “North Lakhimpur College (Autonomous) Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.), 2021” and shall come into force from the Academic Session 2021-2022.</p>
3.	<p>The Degree of Doctor of Philosophy (Ph.D.) shall be awarded to an eligible candidate by the College after successful completion of a course work and research as prescribed under the Regulations herein below.</p>
4.	<p>The Ph.D. Programme shall be pursued only in a Post-Graduate teaching Department of the College.</p>
5.	<p>The Ph.D. programmes shall be conducted under the general supervision of the Research Council, and the Departmental Research Committee (DRC) constituted under the North Lakhimpur College (Autonomous) Regulations for the Research Councils and for the Degree of Doctor of Philosophy (Ph.D.), 2021.</p>
6.	<p>Regulations for the Research Council (RC): i) Composition: There will be a Research Council for monitoring all the research activities in the College. The Research Council is the apex body that administers the research programmes of the College. The Research Council has to take all strategic decisions, corrective actions, preparing, updating policies as per the UGC norms. Research Council is to be headed by the Principal. The Research Council will consist of the following members: a) Principal – Chairperson. b) The Controller of Examinations – Member. c) The Member Secretary, Academic Council – Member. d) The Coordinator, Internal Quality Assurance Cell – Member. e) Three Associate Professors/Assistant Professor (with Ph.D. Degree) - Members representing each Discipline to be nominated by the Principal.</p>

- f) Three (03) Professors/Associate Professors as - Invited Members - Representing each disciplines as Nominated by the Principal.
- g) Chairpersons of DRCs.
- h) Members Secretary.
- ii) Term of Research Council:** The term of all the members of the Research Council shall be for three years from the date of appointment. The Chairperson has the authority to appoint the council's invited members.
- iii) Meetings:** The research council should meet Quarterly.
- iv) Functions of the Research Council:** The Research Council will be primarily responsible for the following responsibilities:
 - a) It is responsible for receiving, scrutinising, and approving the Departmental Board of Study's recommendations on research programmes.
 - b) The Research Council shall advise, observe, and make recommendations on the operation of the Departmental Board of Study on research matters.
 - c) It shall review the Policy Matters/Regulations pertaining to Ph.D. and M.Sc. research.
 - d) It will look into ways to promote innovative research by students and faculty members, as well as develop policy initiatives to support it.
 - e) It will develop policy initiatives to promote more Industry-Academia research.
 - f) It will look into increasing Action Research by students and faculty members.
 - g) It will consider applications for Junior Research Fellowship (JRF) awards recommended by the **Academic Officer**.
 - h) The Chairperson shall examine the Reports of the Examiners of the Ph.D. Theses on behalf of the Research Council and advise the Controller of Examinations on the necessary action under report to the Research Council.
 - i) It shall receive annual Academic Report(s) from the Principal Investigator(s) of ongoing Research Project(s) in various departments, regardless of funding sources, via the **Academic Officer**.

- 7. Role of Board of Studies in Ph.D. Programme:**
- i) Composition of Board of Studies:** The composition of Board of Study (BOS) is as follows as per the recommendation of UGC.
 - a) Head of the Department concerned (Chairman).
 - b) The entire faculty of each specialization.
 - c) Two subject experts from outside the Parent University to be nominated by the Academic Council.
 - d) One expert to be nominated by the Vice-Chancellor from a panel of six recommended by the college principal.
 - e) One representative from industry/corporate sector/allied area relating to placement.
 - f) One postgraduate meritorious alumnus to be nominated by the principal. The Chairman, Board of Studies, may with the approval of the principal of the college, co-opt:
 - g) Experts from outside the college whenever special courses of studies are to be formulated.
 - h) Other members of staff of the same faculty.
 - ii) Term:** The term of the nominated members shall be three years.
 - iii) Meetings:** The Board of Studies shall meet at least twice a year.

	<p>iv) Functions: The Board of Studies of a Department in the college shall:</p> <ul style="list-style-type: none"> a) prepare syllabi for various courses while keeping the college's objectives, stakeholders' interests, and national requirements in mind for consideration and approval by the Academic Council; b) propose methodologies for innovative teaching and evaluation techniques; c) recommend a panel of names to the Academic Council for the appointment of examiners; and d) Coordinate research, teaching, extension, and other academic activities in the department/college.
<p>8.</p>	<p>Departmental Research Committee (DRC):</p> <p>i) Composition: The Departmental Research Committee (DRC) is the College's Research Committee for a P.G. teaching department. In each Department, a Departmental Research Committee (DRC) will be formed as follows:</p> <ul style="list-style-type: none"> a) Chairperson* - Head of Department. b) All eligible Ph.D. Supervisors of the subject concerned. c) Any other member may be nominated to the DRC with the approval of the research council as and when deemed necessary with minimum 4 members including the chairperson. d) If the Head of the Department does not have a Ph.D, then the Principal of the college may appoint any eligible supervisors of the department (preferably on seniority basis) as the Chairperson. He or she will serve until the Head of the Department is qualified to be a Supervisor. e) Provided, however, that in the case of Departments where the Head of the Department is a single eligible recognised Supervisor, the Principal of the College shall nominate at least two members for the DRC of such Departments from the allied Departments in consultation with the Chairperson, DRC. <p>ii) Functions of the DRC: The DRC will take into account all aspects of the research work in the subject(s) concerned. The DRC's functions are as follows:</p> <ul style="list-style-type: none"> a) It will be in charge of all activities related to entrance and admission to the Ph.D. programme. Coursework must be completed in accordance with the admission criteria and procedure. b) It shall conduct and carry out all necessary procedures for the evaluation of the Ph.D. Work on the course. c) It shall take into account the Doctoral Committees' recommendations regarding the registration of Ph.D. research Scholars. It will only recommend a Ph.D. proposal (synopsis) for registration after the Scholar has presented it to the DRC. d) It will hold periodic seminars/presentations for the Ph.D. Scholars appear from time to time. e) It shall review the progress reports of Ph.D. research Scholars on a regular basis and make recommendations to the RC. f) The DRC will organise the Ph.D. Pre-submission seminars. Scholars and the panel of examiners from the Doctoral Committees receive the abstracts and make recommendations to the Academic Officer concerned for further action. g) The DRC will consider any other issues related to the scholars' Ph.D. work.

<p>9.</p>	<p>Doctoral Committee (DC):</p> <p>i) Formation: The supervisor(s) will initiate the formation of the DC to monitor the progress of each of the research students.</p> <p>a) The DC shall be composed of the supervisor(s) and a minimum of two faculty members from the Department/Centre in which the research student is conducting his/her research, with the supervisor serving as chairperson.</p> <p>b) This Committee may have a maximum of two members from allied Department(s)/Centre(s) of the College.</p> <p>c) The DC shall have minimum Three (3) members and maximum of Five (5) members.</p> <p>d) The formation of the Doctoral Committee must be announced by the Chairperson of the relevant DRC, and copies must be sent to the Principal's and Research Council's offices.</p> <p>e) DRC of the concerned Departments can include 1 (one) member from outside the institution to the Doctoral Committee if necessary.</p> <p>f) There has to be a separate doctoral committee for each Ph.D. scholar.</p> <p>ii) Meeting: Once in six months the meetings of the DC should be conducted and submit a copy to the proceeding to the Research Council duly forwarded by research supervisors, HoD and Principal. TA/DA to be paid to the external members as per the rules after conducting the meeting of the Doctoral Committee with the prior communication with the finance committee.</p> <p>iii) Functions of the Doctoral Committee (DC): The Doctoral Committee shall be responsible for the following functions:</p> <p>a) The Doctoral Committee shall examine research proposals and recommend them to the DRC concerned if they are found suitable for Ph.D. registration.</p> <p>b) It shall monitor the scholar's research progress and recommend progress reports to the DRC concerned.</p> <p>c) It will monitor, suggest, and guide Ph.D. Scholars on their research as needed.</p> <p>d) Prior to the pre-submission seminar, it shall review the abstracts of the Ph.D. Thesis and make a recommendation to the DRC concerned.</p> <p>e) It shall ensure that the thesis is corrected and modified in accordance with the examiners' recommendations prior to the viva-voce examination.</p>
<p>10.</p>	<p>ELIGIBILITY OF SUPERVISORSHIP:</p> <p>i) The following persons are eligible to serve as Supervisors for Ph.D. programmes in their respective fields of specialisation:</p> <p>a) Faculty member of the Post Graduate Departments of the College with adequate research infrastructure (to be ascertained by the Research Council) and a minimum of 1 year of teaching experience in PG classes after attaining Ph.D. degree and having at least three research papers published in the UGC referred journals are eligible for supervisorship. Out of these, one paper must be published after attaining the Ph.D. Degree and shall have to be the corresponding author. However, in case of not fulfilling the conditions above, proportionate weightage shall be given as per UGC norms.</p> <p>b) The faculty members superannuated from their regular service or having less than two years of regular service shall have to take co-supervisor for each of the Ph.D. scholars from among the eligible supervisors of the area concerned working in regular posts.</p> <p>c) The Ph.D. Guideship is a matter of recognition to the Research Council through the DRC in the concerned subject along with relevant documents. The Research Council shall have</p>

	<p>the right to accept or reject the applications of individuals as research supervisor and shall be further governed by the following requirements:</p> <ol style="list-style-type: none"> i. that the person seeking recognition as a supervisor has worked in the Department for at least 1 year on the date of application in PG Classes; ii. that the recognition is in the interest of the college and is expected to enhance and protect the required standards of research of the college through collaboration and joint research ventures. iii. that the recognition of such persons would necessarily mean that they are treated as internal examiners of the college, iv. A recognized Ph.D. Supervisor in the rank of a Professor, an Associate Professor and an Assistant Professor or a Supervisor of the College are expected to supervise not more than 8, 6 and 4 research scholars respectively at a time. v. No Supervisor shall be allowed to supervise the Ph.D. programme of any near relative.
<p>11.</p>	<p>Change of Supervisor</p> <p>If a candidate's Supervisor leaves the College before the scholar's research is completed, the Research Council may allow the Supervisor to continue supervising the research work as the Co supervisor. In such cases, the DRC in question shall take the necessary steps to appoint a Co-supervisor for the Scholar for that specific research work. Furthermore, the Supervisor who is on long leave, on lien, or leaves the College shall continue to supervise the ongoing research work as a co-supervisor for the duration of the said period. In such cases, the DRC concerned shall take necessary steps for appointment of another co-supervisor for that particular research work before the outgoing teacher leaves the department/ centre. The change of supervisor shall be permitted only in exceptional cases like death, state of being incapacitated due to illness, mental disabilities, removal from the job and the likes by the Research Council. In such cases, the contribution of the former/original supervisor shall be recognized/ acknowledged.</p>
<p><u>PART – II</u></p>	
<p>12.</p>	<p>Eligibility for Admission:</p> <ol style="list-style-type: none"> i) A candidate for admission to the course leading to the Degree of Doctor of Philosophy (Ph.D.) must have passed the M.A./M.Sc. Examination of Dibrugarh University or North Lakhimpur College or any other University/Institute recognized as equivalent by Dibrugarh University/North Lakhimpur College (Autonomous) or this College securing a minimum of 55% marks in the subject in which he/she intends to pursue the course. Under special circumstances a candidate may be allowed by the Research Council on recommendation of the Departmental Research Committee to pursue the course in a subject other than one in which he/she obtained Masters' Degree, provided that the Research Council is satisfied that the branches are interrelated and the candidate is competent to undertake the Course in the particular subject. ii) A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, shall be allowed to candidates belonging to SC/ST/OBC (non-creamy layer)/differently-abled category and other categories of candidates as per the decisions of the College /State Government from time to time, or for those who had obtained their Master's degree. iii) The following categories of candidates are exempted from the entrance test for

	<p>registration to Ph.D. Degree: Candidates who qualify Research Fellowship under UGC-JRF, UGC-CSIR-JRF and other research fellowships of central and state Government departments/agencies as approved by the North Lakhimpur College, as the case may be. However, they have to appear before the viva voce examination.</p>
<p>13. Admission Requirements:</p>	<p>All applicants seeking admission to the Ph.D. programme of the North Lakhimpur College must have cleared the NLCRAT. As mentioned in 12iii) candidates who have cleared Research fellowship under UGC and CSIR or any other research fellowships equivalents thereof as recognized by the College at the time of application may be exempted from the NLCRAT. All other applicants must have cleared the North Lakhimpur College Research Admission Test (NLCRAT) (Please see Annexure I).</p> <p>Relaxation upto 5% marks may be availed by the candidates belonging to the reserved categories.</p>
<p>14. Admission Procedure:</p>	<p>i) The eligible candidates shall have to apply for admission to the Chairperson, Departmental Research Committee at the Department in which he/she desires to pursue research. The College shall advertise for admission through newspaper/ College website at least twenty (20) days ahead from the date of admission.</p> <p>ii) The application for admission must be made in the prescribed form obtainable from the office of the Principal on payment of prescribed fees.</p> <p>iii) Candidates eligible for registration to Ph.D. shall be decided by a two stage process – Entrance Test and Interview/Viva-Voce.</p> <p>iv) For selection of candidates a weightage of 70% from NLCRAT score and 30% from the Viva-voce/ Interview shall be given.</p> <p>v) Syllabus of the Entrance test shall consist of questions based on research methodology (50%) and the subject of study concerned (50%).</p> <p>vi) All the candidates getting a minimum of 60% marks and in the case of SC/ST categories of candidates a minimum of 50% marks and in the case of OBC (non-creamy layer)/differently-abled and other categories of candidates a minimum of 55% marks, as the case may be, in the entrance test are qualified to attend the Interview/Viva-Voce.</p> <p>vii) Interview/Viva-Voce shall be conducted by a duly constituted ‘Department Research Committee’ in such a way that the candidates would be required to discuss their research interest/area through a presentation.</p> <p>viii) The Chairperson, DRC shall place the applications in the meeting of the Departmental Research Committee. The Departmental Research Committee shall conduct the interview of the applicants and finalize the list of eligible applicants for admission in the department.</p> <p>ix) The Departmental Research Committee concerned shall allocate supervisors to each scholar at the time of admission.</p>
<p>15. The Course Work:</p>	<p>i) The admitted students have to undergo a six month course work.</p> <p>ii) The course work for Ph.D. shall be of a minimum of 16 credits and a maximum of 18 credits.</p> <p>iii) A minimum of four credits shall be assigned to one or more courses on Research</p>

	<p>Methodology which shall cover areas such as quantitative methods, qualitative methods, computer applications, research ethics and review of published research in the relevant field, training, and field work and other areas found relevant to the discipline concerned. Other courses shall be advanced level areas in the subjects concerned for enabling the students to acquire deep knowledge in the preparation for Ph.D. degree.</p> <p>iv) All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirements and shall specify content, instructional and assessment methods duly approved by the concerned Board of Studies of the College.</p> <p>v) The Department where the scholar pursues research shall prescribe the structure of the course(s) as per the provisions in Annexure II.</p> <p>vi) Scholars admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the Department during the initial one or two years.</p> <p>vii) Scholars already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. course by the research advisory committee, may be exempted by the Department from the Ph.D. course work. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.</p> <p>viii) Grades in the course work, including research methodology courses, shall be finalized after a combined assessment by the Research Advisory Committee and at least one external examiner, and the final grades shall be communicated through Chief Examination Controller, North Lakhimpur College.</p> <p>ix) The Ph.D. Scholar has to obtain a minimum of 'B' Grade in the UGC 7-point scale in the course work with a minimum of 55% marks in order to be eligible to continue in the Ph.D. programme and submit the thesis/dissertation.</p>
<p>16.</p>	<p>Duration of the Programme:</p> <p>i) Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.</p> <p>ii) Extension beyond the above limits, in exceptional circumstances, may be permitted by North Lakhimpur College (Autonomous), Lakhimpur for the completion of the research work and submission of thesis.</p> <p>iii) Women candidates and candidates with disability of more than 40% may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for a period up to 240 days.</p>
<p>17.</p>	<p>Registration:</p> <p>(i) After successful completion of the course work, a candidate shall submit a synopsis of the proposed research work in triplicate to the Doctoral Committee concerned through his/her supervisor within one year from the date of declaration of the result of the Course Work. The candidate may need to give a presentation of the synopsis before the Doctoral Committee.</p> <p>(ii) The Doctoral Committee shall scrutinize the research proposal of the candidate and suggest for modification if any. The Doctoral Committee shall also recommend the proposals for consideration of the DRC concerned.</p>

	<p>(iii) The Chairperson, DRC shall forward the applications of the candidates for Ph.D. Registration along with its recommendations to the Deputy Registrar (Academic) of Dibrugarh University within one year from the date of admission to get the Dibrugarh University Registration Certificate.</p> <p>The proposals for registration should contain the following documents-</p> <p>a) Duly filled in and duly forwarded Application Forms;</p> <p>b) Recommendation of the Doctoral Committee;</p> <p>c) Recommendation of the DRC with proposed date of effect (ANNEXURE – IV).</p>
18.	<p>Allocation of Supervisor:</p> <p>i) If a research student under a Research Supervisor submits his/her Ph.D. thesis for adjudication, the date of submission of Ph.D. thesis will be considered as date of occurrence of vacancy under that Research Supervisor in order to avoid the delay in granting registration for new research scholar.</p> <p>ii) The Department, in case of topics which are of inter-disciplinary nature and where the expertise in the Department has to be supplemented from outside, may nominate a Supervisor from the Department itself as the Research Supervisor, and a Co-supervisor from outside the Department/ Faculty/College/University or other Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges and duly approved by the College/University.</p> <p>iii) Allocation of research supervisor for a selected research scholar shall be decided by the DRC concerned depending on the number of scholars per research supervisor, available specialization among the research supervisors and research interests of the scholars as indicated by them at the time of interview and willingness of the research supervisor. Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.</p>
19.	<p>Periodical Reports:</p> <p>(i) Registered scholars shall submit a progress report on every six months from the date of registration to the Chairperson, Doctoral Committee (DC) in duplicate (as per format in Annexure III). The DC will review the Progress Reports and forward the same along with recommendations of the DC to the Departmental Research Committee for consideration.</p> <p>(ii) The submission of six-monthly Progress Report on every six-month shall be a regular procedure for all research scholars; every scholar shall have to submit it positively at the end of every six months. Non-submission of progress report beyond a period of one year may lead to cancellation of his/her registration.</p> <p>(iii) The Progress Reports will be reviewed by the School Board taking note of the opinion of the DRCs from time to time. If at any stage, it is found that a candidate is not making satisfactory progress, his/her registration may be recommended to the Research Council for cancellation.</p>
20.	<p>Language:</p> <p>i) In all subjects, the thesis shall be written in English. However, in the language subjects, the thesis may be written in English or in the language concerned as deemed appropriate by the School Board concerned.</p>
21.	<p>Modification of the title:</p> <p>i) A scholar may be allowed by the DRC on the recommendation of DC to modify the title,</p>

	provided it does not alter the basic thrust or contention of the research work.
22. Change the topic:	<p>i) A scholar may be allowed by the DRC to change the topic of his/ her ongoing research provided the same is duly recommended by DC concerned. In such cases, the DRC may ask the candidate to submit fresh synopsis on the changed topic.</p>
23. Period of Registration:	<p>i) A scholar registered for Ph.D. degree may submit the thesis on completion of two years of research work from the date of registration. He/she shall have to submit the thesis within five years from the date of registration.</p> <p>ii) Provided that if a candidate fails to submit the thesis within five years from the date of registration, he/she may apply for re-registration. In such cases, the thesis shall be submitted not later than two years from the date of re-registration failing which his/her registration shall stand cancelled.</p>
24. Extension of Registration:	<p>i) RC on recommendation of the DRC concerned may allow a scholar for extension of the registration period for maximum two years on expiry of the five years term of registration.</p> <p>ii) In the cases of extension of registration, the scholar shall have to pay the prescribed Extension of Registration fee.</p> <p>iii) The scholar, whose registration period is extended shall have to submit his/ her thesis within two years from the date of Extension of Registration failing which his/her registration shall stand cancelled.</p>
25. Pre-Requisites for Submission of thesis:	<p>i) Every registered scholar shall present <i>at least two papers</i> during the tenure of his/her research at Seminars organized by the DRC concerned.</p> <p>ii) Every Ph.D. scholar must have one research paper published in referred and indexed journals (Scopus indexed/Web of Science/ UGC care listed journals) before the submission of the thesis and produce evidence to that effect in the form of re-print or acceptance letter.</p> <p>iii) Prior to submission of the abstracts of the thesis, the scholar shall make a seminar presentation in the department/centre based on the findings of his/her research work and that shall be open to all faculty members and students for getting feedbacks and comments, which may be suitably incorporated into the thesis under the advice of the supervisor.</p>
26. Anti-Plagiarism Measure:	<p>i) The scholar must check his/ her draft of thesis by anti-plagiarism software URKUND or any other software approved by the UGC-INFLIBNET Centre and shall have to produce two Certificates in relation to plagiarism as follows:</p> <p>(1) Certificate of Originality by the Scholar Annexure VII (A).</p> <p>(2) Student Approval Form by the Scholar Annexure VII (B).</p> <p>Besides the scholars also have to submit a Self Plagiarism Exclusion Certificate duly signed as per the Annexure VII (C).</p>
27. Submission of Abstract of thesis:	<p>i) On completion of the research work, the scholar shall write to the Chairperson of the DC concerned seeking permission to submit the thesis along with the quadruplicate copies of</p>

	<p>the abstracts of the thesis. The Chairperson, DC shall place the application before the DRC along with the filled in format as stated in Annexure V.</p> <p>ii) The Chairperson of the DRC concerned shall arrange for presentation of the Abstract submission seminar in the department concerned. On satisfactory presentation of the findings the DRC shall forward the quadruplicate copies of the abstracts of the thesis to the RC, provided the scholar fulfils all the requirements as mentioned in Clause 25 (iii).</p> <p>iii) The quadruplicate copies of the abstracts must accompany a panel of experts prepared by the Supervisor in consultation with the Chairperson of the DRC concerned. The panel should consists of at least 6 (six) experts including Internal Examiner(s) with all requisite information as stated in the prescribed Proforma. Further, the panel shall consist of at least 3 (three) experts from outside the state/ country. The Panel must contain the initials of the Supervisor and the Chairperson, DRC.</p> <p>iv)The DRC shall submit the abstracts of the thesis along with the panel of Examiners to the RC. If the Chairperson, Research Council is satisfied that the Panel is in order in all respects, he/she shall take it up with the Controller of Examinations. The list of external examiners should not contain the names of recognized Ph.D. Supervisors of the Parent University, North Lakhimpur College or of any person from the institution where the Supervisor(s) or Co-supervisor is/are working.</p>
<p>28.</p>	<p>Submission of Thesis:</p> <p>i) The scholar shall submit four printed or type-written copies (five copies in case the work is done under co-supervision) of Ph.D. thesis within four (4) months from the date of submission of the abstracts or the date of expiry of the period of registration/ extension of registration (whichever is earlier) in the prescribed format (Annexure VI) along with requisite amount of fees.</p> <p>ii) On verification of records, the Academic Officer shall issue necessary instruction to the scholar to submit the thesis to the Controller of Examinations, North Lakhimpur College. The Controller of Examinations will send the thesis to the Examiners approved by the Principal along with a recommendation proforma.</p>
<p>29.</p>	<p>Appointment of Examiners:</p> <p>i) The Principal shall appoint two examiners out of this panel of experts at least one from outside the state/ country, besides the Supervisor(s) of the thesis.</p> <p>ii) The Controller of Examinations shall send the Abstracts to the external examiners as approved by the Vice-Chancellor as a first step towards the examination of the thesis.</p>
<p>30.</p>	<p>Assessment:</p> <p>The thesis shall be examined in two parts:</p> <p>a) Written reports:</p> <p>i) Written reports by the Examiners on the thesis submitted. While giving detailed comments on the thesis, the Examiner shall recommend that in his/her opinion – either, the thesis be accepted, (This opinion will be given on the basis of the thesis Examiner’s detailed report and it must not contradict the detailed report.) or, the thesis be revised and resubmitted. (In this case, the examiner shall indicate the lines along which the thesis should be revised. For such revision, the candidate shall be provided with the reports of the examiner/examiners who have recommended revision of the thesis.) or, the thesis be</p>

rejected. [In this case, reasons for rejection will be given by the Examiner(s).] The Examiners shall also state whether the thesis is worthy of publication or not. If not, the reasons will be clearly stated by the Examiner(s). The recommendation, however, is necessary only for publication of the thesis. In case the thesis is not recommended for publication, this will not have any bearing on the award of the degree.

ii) In case one examiner suggests resubmission while the other examiners accept the thesis, the Research Council may ask the candidate to resubmit the thesis along with a prescribed fee after six months in the light of the suggestions made by the Examiner concerned. The revised thesis will be referred to the examiner who had sought for revision.

iii) If one of the examiners recommends rejection, then the thesis shall be referred to a Third External Examiner from the Panel. In the case, the candidate will have to pay a prescribed fee. If this examiner also recommends rejection, the thesis shall be rejected.

iv) The Controller of Examinations shall place the Reports of the Ph.D. Thesis before the Chairperson of the Research Council in confidential. The Chairperson on behalf of the Research Council shall examine reports of the Ph.D. Thesis and suggest the Controller of Examinations for further action accordingly.

b) Public Viva:

i) Public viva voce, based on the observations given in the evaluation report, shall be conducted by a Board consisting of one of the External Examiners, in the duly approved panel nominated by the Principal, as Chairperson, and the Research Supervisor and Head of the Research Centre as members.

ii) Public viva voce shall be open to all faculty members of the Department, research scholars and interested experts/researchers/students.

iii) Public viva voce of the research scholar to defend the thesis shall be conducted only if the recommendations in the evaluation report(s) of the External Examiner(s) on the thesis, submitted in the format specified by the College/University for the purpose, is/are satisfactory and specific recommendation for conduct of the public viva-voce is made.

iv) The thesis shall be sent to another External Examiner, contained in the approved panel of examiners, in case the evaluation report of one of the External Examiners is unsatisfactory and does not recommend for conduct of the public viva-voce.

v) Public viva-voce examination, in respect of scholars referred to in Clause 30b(iii) of these Regulations, shall be held only if the recommendation in the report of the third External Examiner is satisfactory.

vi) The College shall formulate appropriate methods, so as to complete the entire process of evaluation of the thesis for Ph.D. within a period of six months from the date of submission of the thesis/dissertation.

31. Results:

i) The Academic Council of the College on the recommendation of the Board of Examiners of the Viva-Voce Test shall confer the degree of Doctor of Philosophy (Ph.D.) and shall cause the candidate's name to be published immediately with the title of the thesis, name of the Supervisor and the subject to which the thesis belongs.

ii) The scholar shall submit one hard bound copy of the thesis along with two soft copies (in CD form) to the Controller of Examinations of the colleges after incorporating corrections, modifications, etc. as suggested by the experts within one month from the viva-voce examination, which to be duly certified by the supervisor concerned.

32.	<p>Issue of Certificate:</p> <p>i) A Provisional Certificate shall be issued by the Controller of Examination of North Lakhimpur College to the successful scholars certifying that the Degree has been awarded in accordance with the provision to the Regulations of UGC, 2009 after submission of one hard bound copy of the thesis along with the two soft copies (in CD form) to the Controller of Examinations.</p> <p>ii) Original Certificate shall be issued to the successful candidates only after the Convocation by the Dibrugarh University.</p>
33.	<p>Depository:</p> <p>i) After the award of the degree, one copy of the thesis shall be preserved in the College Library duly corrected if necessary, by the candidate in the light of the reports of the Examiners and certified as such by the Supervisor and Chairperson of the DRC concerned.</p> <p>ii) After the award of the Degree, the Controller of Examinations shall submit a soft copy of the thesis to the UGC within a period of thirty days for hosting the same in the INFLIBNET.</p>
34.	<p>Publication:</p> <p>i) Thesis accepted by the College must not be published without prior permission of the Principal of the College.</p> <p>ii) The scholar shall get the permission from the College for publication of the thesis, provided at least two of the examiners recommended for publication.</p>
35.	<p>Matters not covered by the above clauses, shall be decided as and when necessary by the Research Council/Joint Research Council/Academic Council/ Executive Council.</p>
36.	<p>Repeal and Saving:</p> <p>i) All guidelines issued shall continue to have effect until such rules are rescinded or modified, as the case may be, and save, as otherwise provided in these regulations are hereby repealed.</p> <p>ii) In case of disputes, the Research Council and Principal of the College, together with Member Secretary of Academic Council North Lakhimpur College may examine the cases individually and take an appropriate decision.</p>



(Dr. Biman Chandra Chetia)
Principal,
North Lakhimpur College (A)

Principal
North Lakhimpur College
(Autonomous)
Lakhimpur, Assam

ANNEXURE I

NORTH LAKHIMPUR COLLEGE (AUTONOMOUS) GUIDELINES FOR RESEARCH ADMISSION TEST

1. The North Lakhimpur College Research Admission Test (NLCRAT) shall be conducted by a Board, to be called NLCRAT Board assisted by the Office of the Controller of Examinations and Departmental Research Committees (DRC) (Jointly) to be constituted every year by the Principal.
2. NLCRAT would be conducted annually, generally in the month of January. The final schedule of the Test would be notified in advance by the Principal, North Lakhimpur College (Autonomous).
3. The Application procedure of the NLCRAT including the Schedule is prescribed in the Detailed Notification.
4. NLCRAT shall be conducted to select eligible candidates for admission to the Ph.D. programmes of North Lakhimpur College (Autonomous). The number of seats available in the Subject concerned shall be ascertained by the DRC concerned.
5. A candidate intending to appear in the NLCRAT shall be required to obtain at least **55% marks OR equivalent grade point in the Masters degree.**
6. Candidates belonging to the reserved categories of SC/ST/OBC (Non-Creamy Layer) and the Differently Abled Persons, shall have 5% relaxation in the marks or an equivalent relaxation of grade in the qualifying degree.
7. The NLCRAT shall be conducted in a single paper which shall comprise of two parts- (a) 50% on Research Methodology/ Research Aptitude (Group A) and (b) 50% from Core Course Components of the subject concerned (Group B). The paper shall carry 100 marks and the duration of examination shall be of two and half hours.
The pattern of the Question Papers shall be as below-
 - i. Type Objective Multiple Choice = 50% from Group A and 50% from Group B.
There will be no negative marking:
 - ii. Descriptive Type= 50% from Group A and 50% from Group B.
8. The NLCRAT Board shall recommend to the Principal the panel of Paper-setters, Moderators, Tabulators and Examiners for approval.
9. The minimum marks for qualifying the NLCRAT shall be 50. However, the North Lakhimpur College shall declare the merit list of the qualified candidates only against the seats available in the subject concerned.
10. The NLCRAT score shall be valid for the current academic session only.
11. Any matter not covered above shall be considered in compliance with the North Lakhimpur College (Autonomous) Examination Ordinance (amended upto date).

ANNEXURE II

COURSE STRUCTURE & EXAMINATION PATTERN OF THE Ph.D. PROGRAMMES

1. There shall be four Courses in One-Semester Course work for the Ph.D. programmes conducted in North Lakhimpur College comprising with the following components:

Course I: Research Methodology (Core)

Course II: Optional (Intra/ Inter-Departmental)

Course III: Optional (to be offered by the prospective Supervisor concerned)

Course IV: Assignment (under guidance of the prospective Supervisor concerned)

Course V: Research and Publication Ethics (Compulsory)

Provided that, the total credit of the Ph.D. Pre-Registration Course Work should be within the range of 16-18 Credit.

2. The syllabi for the Ph.D. Course Work shall be prepared by the BOS and approved by the DRC and RC and shall come into effect after approval of the Academic Council, North Lakhimpur.

3. The distribution of marks of the course work shall be as below:

Courses	Internal Assessment	End Semester examination	Total Weightage
Course I	40%	60%	100%
Course II	40%	60%	100%
Course III	40%	60%	100%
Course IV	80% (assignment writing)	20% (viva on the assignment)	100%
Course V	40%	60%	100%
Total Credit: 16-18			

4. Candidates shall have to secure a minimum of 45% marks in aggregate to pass a paper individually.

5. The mode of Internal Assessment (IA) shall be decided and implemented by the Department concerned. The mode of IA shall have to be communicated to the Controller of Examinations, North Lakhimpur College at the time of submission of IA marks.

6. Examination & Declaration of Results:

(a) The IA of a student shall be conducted by the course teacher of the student concerned. The marks of the IA shall be submitted to the Controller of Examinations of the College by the Head of the Department concerned.

(b) The End Semester examinations shall be conducted by the Controller of Examinations, North Lakhimpur College in consultation with the Head of the Department concerned.

(c) The result shall be declared by the Controller of Examinations. (d) The examinations shall be conducted as per the existing examination ordinance of the College.

7. The result of the candidates appeared in the examination for Ph.D. Course Work shall be awarded in the following Grade system:

Letter Grade with meaning	Grade Point
O	Outstanding 10 (Marks securing above 95%)
A+	Excellent 9 (Marks securing 90%-95%)
A	Very Good 8 (Marks securing 80% -90%)
B+	Good 7 (Marks securing 70% -80%)
B	Above Average 6 (Marks securing 60% -70%)

C	Average	5(Marks securing 50% -60%)
P	Pass	4 (Marks securing 45% -50%)
F	Fail	0(Marks securing below 45%)
Ab	Absent	0

A student obtaining Grade F shall be considered failed and will be required to reappear in the examination.

8. Every candidate shall be given a maximum of two consecutive chances (including the first regular chance) for passing the examination. Not appearing in an examination after becoming eligible to appear in the same amounts to losing a chance.

9. The candidates who have failed the examination in the first chance shall have to clear the same in the second and last chance, which shall be held within three months from the date of declaration of results.

A candidate shall have to appear in the second chance only in the failed paper(s) to pass the examination.

10. The candidates passed in the Ph.D. Pre-registration Course Work with not below the Latter Grade **B** shall be eligible to go for Ph.D. registration.

11. Matters not covered by the above Regulations shall be decided as per the other statutory provisions of the College.

ANNEXURE -III
NORTH LAKHIMPUR COLLEGE (AUTONOMOUS) PH.D. PROFORMA
for
SIX-MONTHLY PROGRESS REPORT
(To be submitted by registered Ph.D. Scholars under Section 8 (i) and 8 (ii) of North Lakhimpur College Ph.D. Regulations)

No of the Report (Tick appropriate box):

1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	----

Period: from _____ to _____

1. Name of the Scholar : _____
2. Date of Registration : _____
3. Date of Re-Registration : _____ (if applicable)
4. Topic of Research : _____

5. Work done during the period:

- (i) Books consulted including names of libraries visited (attach separate sheet if necessary):
- (ii) Field Study /Survey/Work conducted:
- (iii) Experiments conducted (attach separate sheet if necessary):
- (iv) Seminars/Workshops attended or papers presented:
- (v) Publications if any during the period:
- (vi) Any other work done:

Date : _____

Signature of the Ph.D. Scholar

6. Opinion of the Supervisor on the progress made by the scholar:

Date : _____

Signature of the Ph.D. Supervisor & Chairperson, DC

~~~~~  
**FOR DRC AND OFFICE USE:** Verified and recommended by the *Departmental Research Committee (DRC)* in \_\_\_\_\_ in its meeting held on

**DATED** \_\_\_\_\_  
 \_\_\_\_\_  
 Signature of Chairman, DRC (with seal)

**Placed in the Meeting of the Research Council held on \_\_\_\_\_ and accepted.**

\_\_\_\_\_  
**Signature of Member Secretary**

\_\_\_\_\_  
**Signature of Chairperson, RC**

\*\*\*\*\*

**ANNEXURE-IV**  
**NORTH LAKHIMPUR COLLEGE (AUTONOMOUS)**  
**(APPLICATION FOR REGISTRATION AS A CANDIDATE FOR THE DEGREE OF**  
**DOCTOR OF PHILOSOPHY)**

To  
 The Chairperson  
 Departmental Research Committee in.....  
 North Lakhimpur College (Autonomous)  
 Khelmati, Lakhimpur

Please attach your  
 Recent passport  
 size Photograph  
 here

Sir/Madam,

I have gone through the clause “Admission Requirements” of the North Lakhimpur College (Autonomous) Regulations for the Degree of Doctor of Philosophy (Ph.D.). I am confident that I have the requisite qualification. I would like to apply for registration as a research student for the Ph.D. Degree in the Department of .....of North Lakhimpur College (Autonomous) and submit the following particulars in support of my application along with the Application fee of Rs. 200/- paid to college cash counter.

Further, I would like to undertake that I shall abide by the Rules and Regulations of North Lakhimpur College (Autonomous) framed from time to time.

Yours faithfully,

Dated:

Signature of the candidate

(Particulars to be filled by the Candidate)

1. Name (in block letter) :
2. Father's/ Husband's Name :
3. Date of birth (as given in the : H.S.L.C. Certificate)
4. University Registration No. :
5. Whether married or unmarried :
6. Whether you belong to : SC/ST/OBC/Minority
7. Address (Permanent) :
8. Address of Correspondence :

Phone : \_\_\_\_\_

E.mail : \_\_\_\_\_

9. Details of examination passed:

| Examination passed         | Name of the University/Board | Year of Passing | Roll No. Division | Percentage of Marks | Subject offered |
|----------------------------|------------------------------|-----------------|-------------------|---------------------|-----------------|
| H.S.L.C.                   |                              |                 |                   |                     |                 |
| H.S.S.L.C.                 |                              |                 |                   |                     |                 |
| Bachelors Degree           |                              |                 |                   |                     |                 |
| Masters Degree             |                              |                 |                   |                     |                 |
| M.Phil or any other Degree |                              |                 |                   |                     |                 |

10. Proposed title of the Thesis : \_\_\_\_\_

11. Name of the Proposed Supervisor : \_\_\_\_\_  
 and his / her address \_\_\_\_\_

12. Details of research experience, if : any \_\_\_\_\_

13. Proficiency in Languages:

- (a) Can Speak : \_\_\_\_\_  
 (b) Can Read : \_\_\_\_\_  
 (c) Can Write : \_\_\_\_\_

14. If Employed :

- (a) Name of the Institution : \_\_\_\_\_  
 (b) Nature of Work : \_\_\_\_\_  
 (c) Experience : \_\_\_\_\_

**Certificate of the Head of the Institution/ Organization in which the candidate is employed :**

I certify that the application is being made with my consent and permission. I have no objection if the candidate is registered for Ph.D. in North Lakhimpur College (Autonomous).

Date : \_\_\_\_\_

\_\_\_\_\_  
(Signature)

Office Seal

Name:  
Designation:

**Proposed Supervisor's Certificate**

This is to certify that I know the applicant,..... I am satisfied that he / she has sufficient competence for carrying out research works leading to Ph.D. I shall be ready to supervise his / her works, if it is approved by the Research Council.

I also declare that, there are ..... Nos. of registered Ph.D. Scholars pursuing Ph.D. Research under my supervision as follows :

(a) Under North Lakhimpur College (Autonomous) : ..... Nos.

(b) Under other University / Organization. ....Nos.  
(Please specify Name and Nos.)

.....  
(Signature)

Name :  
Designation :

Date :

**Recommendation of the Departmental Research Committee**

Certified that the D.R.C. in (subject) ..... held on ..... has recommended that the application be placed in the Research Committee for recommendation for registration as a candidate for Ph.D. w.e.f. .... under Supervision of .....

(Signature)  
Chairperson

Date :.....

Departmental Research Committee in.....

Office Seal :

Date : \_\_\_\_\_

**Recommendation of the Research Council**

Certified that as recommended by the DRC concerned, the application of Mr./ Ms ..... for registration in the Ph.D. Programme in ..... is further recommended for approval.

Date :.....

.....  
(Signature)  
Chairperson, Research Council

Office Seal :.....

---

Attested copies of the following documents must be enclosed along with the application.

1. Master's Degree Certificate
2. Bachelor's Degree Certificate
3. Pre-Degree / H.S.S.L.C. Certificate
4. H.S.L.C. Certificate
5. Master's Degree Marksheet
6. Bachelor's Degree Marksheet
7. Pre-Degree / H.S.S.L.C. Marksheet
8. H.S.L.C. Marksheet
9. M. Phil Certificate and Marksheet (If applicable)
10. Migration Certificate (in case of students migrating from other Universities).
11. Synopsis of the proposed research work, (Two copies)
12. Copy of the Receipt for Application Fee of Rs. 200/-

**ANNEXURE -V  
P R O F O R M A  
TO BE FILLED IN AND SUBMITTED ALONG WITH PH.D. ABSTRACTS**

1. Name of the Scholar: \_\_\_\_\_
2. Date of Registration: \_\_\_\_\_
3. Date of Re-Registration (if applicable): \_\_\_\_\_
4. Title of the Thesis: \_\_\_\_\_
5. Name of the Supervisor(s):  
\_\_\_\_\_  
\_\_\_\_\_

6. Six-Monthly Progress Reports Submitted (*as per article 8 of North Lakhimpur College Ph.D. Regulations*):

| Sl. No. | Period | Recommended by the DRC on | Research Council in which the report was placed on recommendation of the DRC (to be filled in by the Office) |
|---------|--------|---------------------------|--------------------------------------------------------------------------------------------------------------|
|         |        |                           |                                                                                                              |
|         |        |                           |                                                                                                              |
|         |        |                           |                                                                                                              |

7. Details of the papers presented at Department /Regional/National/International seminars during the tenure of Research (at least once a year) on the research work of the North Lakhimpur College Ph.D. Regulations (attested photocopies of certificate to be enclosed):

| Sl.No. | Title of the Paper | Date & Place of Presentation | Name & Status of the Seminar |
|--------|--------------------|------------------------------|------------------------------|
| 1.     |                    |                              |                              |
| 2.     |                    |                              |                              |
| 3.     |                    |                              |                              |
| 4.     |                    |                              |                              |
| 5.     |                    |                              |                              |
| 6.     |                    |                              |                              |

8. Paper Published (if any): Enclosed separate sheet.

Dated: \_\_\_\_\_

\_\_\_\_\_  
**Signature of the Ph.D. Scholar**

9. Verified and forwarded

\_\_\_\_\_  
**Signature (s) & Seal (s) of Supervisors (s)**

|                                                                                                                                                                                     |                                                                                                                                                              |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Verified and forwarded along with panel of examiners:</b><br/><br/>(Signature &amp; Seal)<br/>Chairperson,<br/>DRC in .....<br/>North Lakhimpur College<br/>Dated : _____</p> | <p>(For office use only)<br/>Checked and Verified:<br/><br/>Dealing Assistant:<br/>Chairman RC:<br/>_____<br/>To be placed before the meeting of the RC.</p> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|

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## ANNEXURE –VI

### GUIDELINES FOR PREPARATION OF Ph.D. THESIS

1. All copies of the thesis will be printed or type-written on standard A-4 size paper. The right margin should be 2.5 cm, the left margin 3.75 cm, the top margin 3.75 cm and the bottom margin 3.75 cm. Material should be typed on both sides of the paper. All textual material should be composed in Times New Roman font (12 point) and double-spaced. Different font size and spacing may be used for quotations, footnotes, tables and figures, appendix and index, (if any). Same style and type should be used throughout the thesis. Throughout the manuscript an indentation of five spaces or as required in a standard word processor should be used at the beginning of paragraph and quotations. Dividing of words at the end of the line should be avoided as far as possible.

2. The Maps and drawings may have appropriate size as advised by the Supervisor.

3. The title page (including the cover) should include the following – title of the thesis, name of the degree, logo of North Lakhimpur College, affiliation to Dibrugarh University, name of the author of the thesis, Department under which the student was registered and the year of submission.

The titles should be in capital letters beginning six spaces from the top of the page. If the title is too long to be centered on one line, an inverted pyramid style should be followed, without splitting words or phrases. Below the title, other items may be centered or balanced against the left and right margins of the page. Other items should not be typed in capital letters, only the initials of the principal words be capitalized. Name of the degree, the year of submission, title of the thesis and short name of the author be embossed / printed also on the spine of the cover of the final copy of the thesis in the hard bond form as stated in clause 5 of this annexure.

4. The candidates shall be required to attach/ submit the requisite certificates of Anti-Plagiarism as stated in clause 24(iv) of the Regulations.

5. The Colour of the thesis cover will be given as below:

| <b>Faculty</b>                | <b>Colour of the cover</b> |
|-------------------------------|----------------------------|
| Arts, Commerce, Law           | Blue                       |
| Science, Engineering, Medical | Brown                      |

6. The candidate shall submit four copies (five copies in case of joint Supervision) of the thesis in soft binding. However, a scholar shall have to submit one copy of the thesis in a hard bound form within one month from the date of viva-voce for preservation in the library.

\*\*\*\*\*

## ANNEXURE VII (A)



**North Lakhimpur College, Lakhimpur**

**Department of .....**

**Date:** \_\_\_\_\_

### **Certificate of Originality**

The research work embodied in this thesis entitled “\_\_\_\_\_” has been carried out by me at the Department of \_\_\_\_\_, North Lakhimpur College, Lakhimpur, Assam, India. The manuscript has been subjected to plagiarism check by \_\_\_\_\_ software. The work submitted for consideration of award of Ph.D is original.

**Name and Signature of the Candidate**

**ANNEXURE VII (B)**



**North Lakhimpur College, Lakhimpur**

**Department of .....**

**Date:** \_\_\_\_\_

**Student Approval Form**

|                           |  |
|---------------------------|--|
| <b>Name of the Author</b> |  |
| <b>Department</b>         |  |
| <b>Degree</b>             |  |
| <b>College</b>            |  |
| <b>Guide</b>              |  |
| <b>Thesis Title</b>       |  |
| <b>Year of Submission</b> |  |

**Agreement**

1. I hereby certify that, if appropriate, I have obtained and attached here to a written permission/statement from the owner(s) of each third party copyrighted matter to be included in my thesis/dissertation.

2. I hereby grant to North Lakhimpur College and its agents the non-exclusive license to archive and make accessible my thesis/dissertation, in whole or in part in all forms of media, now or hereafter known. I retain all other ownership rights to the copyright of the thesis/dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis, dissertation, or project report.

Signature of the Scholar

Place: \_\_\_\_\_

Date:

Signature and seal of the Guide

Place: \_\_\_\_\_

Date:

\*\*\*\*\*



**ANNEXURE VII (C)**



**North Lakhimpur College, Lakhimpur**

**Department of .....**

**Date: \_\_\_\_\_**

**Self Plagiarism Exclusion Certificate from Supervisor**

The content of the chapters of the thesis entitled.....have been published as mentioned below:

| <b>Sl. No.</b> | <b>Title of the Article/Chapter</b> | <b>Name of the Journal/Book</b> | <b>Publisher</b> | <b>Vol. &amp; Issue No./ISSN/ISBN</b> |
|----------------|-------------------------------------|---------------------------------|------------------|---------------------------------------|
| 1.             |                                     |                                 |                  |                                       |
| 2.             |                                     |                                 |                  |                                       |
| 3.             |                                     |                                 |                  |                                       |
| 4.             |                                     |                                 |                  |                                       |
| 5.             |                                     |                                 |                  |                                       |

These published works have been included in the thesis and have not been submitted for any degree to any University/Institute.

**Signature of the Candidate**

Place: \_\_\_\_\_

Date:

**Signature of Supervisor**

Place: \_\_\_\_\_

Date:

\*\*\*\*\*

**ANNEXURE – VIII**  
**NORTH LAKHIMPUR COLLEGE**  
**PROFORMA FOR APPLICATION FOR Ph.D. SUPERVISORSHIP**

**A.**

|           |                                     |  |
|-----------|-------------------------------------|--|
| <b>1.</b> | <b>Name</b>                         |  |
| <b>2.</b> | <b>Designation</b>                  |  |
| <b>3.</b> | <b>Subject</b>                      |  |
| <b>4.</b> | <b>Present Occupational Address</b> |  |
| <b>5.</b> | <b>Date of Birth</b>                |  |

**B.**

|            |                                                             |  |
|------------|-------------------------------------------------------------|--|
| <b>6.</b>  | <b>Subject in Masters Degree with specialization if any</b> |  |
| <b>7.</b>  | <b>Date of Ph.D. awarded</b>                                |  |
| <b>8.</b>  | <b>Title of the Ph.D. Work</b>                              |  |
| <b>9.</b>  | <b>Teaching Experience before Ph.D.</b>                     |  |
| <b>10.</b> | <b>Teaching Experience after Ph.D.</b>                      |  |
| <b>11.</b> | <b>Teaching Experience in P.G. Class</b>                    |  |

**C.**

|            |                                                                                                                               |                                                                     |
|------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| <b>12.</b> | <b>Number of Research Papers published in referred journals before Ph.D.</b>                                                  | <b>(Please enclose list in details as per the Proforma VII – A)</b> |
| <b>13.</b> | <b>Number of Research Papers Published in referred journals after Ph.D.</b>                                                   | <b>(Please enclose list in details as per the Proforma VII – A)</b> |
| <b>14.</b> | <b>Number of Research Papers published in referred journals after Ph.D. awarded and authored by the teacher independently</b> | <b>(Please enclose list in details as per the Proforma VII – A)</b> |
| <b>15.</b> | <b>Books and other relevant Articles authored by the applicant</b>                                                            | <b>(Please enclose a separate sheet if needed)</b>                  |
| <b>16.</b> | <b>Major/Minor Research Projects completed/ ongoing under the principal / co</b>                                              | <b>(Please enclose list in details as per the Proforma VII – B)</b> |

|  |                                       |  |
|--|---------------------------------------|--|
|  | <b>investigation of the applicant</b> |  |
|--|---------------------------------------|--|

**D. Declaration by the Applicant:**

1. I hereby declare that the information stated as above is true to the best of my knowledge.

2. I hereby assure that I shall serve as a Ph.D. Supervisor of North Lakhimpur College in the subject \_\_\_\_\_ complying with the North Lakhimpur College Regulations for the Award of Doctor of Philosophy (Ph.D.) 2021 and as and when amended.

**Date :** .....

**Place :** .....

**Signature of the applicant**

**E. Recommendation of the DRC, North Lakhimpur College:**

The ..... meeting of the DRC in ..... held on ..... hereby recommends Dr. .... of ..... as a Ph.D. Supervisor in the subject, ..... after finding him/her eligible for the same as per clause 10 of the North Lakhimpur Regulations for the Award of Degree of Doctor of Philosophy (Ph.D.) 2021.

**Date :** .....

**Place :** .....

**Signature of the Chairperson**

**DRC in .....**

**F. Approval of the RC**

The ..... meeting of the RC in ..... held on ..... hereby approves Dr. .... of ..... as a Ph.D. Supervisor in the subject, ..... vide Resolution No. .... on recommendation of the DRC in ..... held on .....

**Date :** .....

**Place :** .....

**Chairperson  
(Research Council)**

**ANNEXURE – IX – A**  
**NORTH LAKHIMPUR COLLEGE**

LIST OF RESEARCH PAPERS PUBLISHED IN THE REFERRED JOURNALS

Name of the Applicant : .....

Subject : .....

| Sl. No. | Name of the Journal | ISSN | Vol. No. | Date of Publication | Title of the Paper | Coauthors |
|---------|---------------------|------|----------|---------------------|--------------------|-----------|
|         |                     |      |          |                     |                    |           |
|         |                     |      |          |                     |                    |           |
|         |                     |      |          |                     |                    |           |

**\* Please enclose the copy of the papers.**

Date :

Place :

Signature of the Applicant

**ANNEXURE – IX – B**  
**NORTH LAKHIMPUR COLLEGE**

**MAJOR/MINOR RESEARCH PROJECTS COMPLETED/ ONGOING UNDER  
THE PRINCIPAL / CO INVESTIGATION OF THE APPLICANT**

Name of the Applicant :.....

Subject : .....

| S.N. | Title of the Projects | Where the Project(s) Located | Duration | Funding Agency | Status | Principal/Co-investigator | Publication if any |
|------|-----------------------|------------------------------|----------|----------------|--------|---------------------------|--------------------|
|      |                       |                              |          |                |        |                           |                    |
|      |                       |                              |          |                |        |                           |                    |
|      |                       |                              |          |                |        |                           |                    |

Date :

Place :

Signature of the Applicant